



Meeting: Audit Committee

Members: Councillors and Independent Co-opted Members (non-voting) Cliff Lunn (Chair), Alyson Baker, Philip Broadbank, Mark Crane, George Jabbour (Vice-Chair), Karin Sedgwick, Neil Swannick, Peter Wilkinson, Felicity Cunliffe-Lister, Mr David Marsh and Mr David Portlock

Date: Monday, 23rd October, 2023

Time: 1.30 pm

Venue: Brierley Room, County Hall, Northallerton

Members of the public are entitled to attend this meeting as observers for all those items taken in open session. Please contact the Democratic Services Officer whose details are at the foot of the first page of the Agenda if you would like to find out more.

This meeting is being held as an in-person meeting. The meeting is also 'hybrid', which enables people to attend the meeting remotely using MS Teams. Please contact the Democratic Services Officer whose details are at the foot of the first page of the Agenda if you would like to find out more.

Recording is allowed at Council, committee and sub-committee meetings which are open to the public, please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Democratic Services Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk/>

AGENDA

1. **Apologies for Absence and Notification of Substitutes**
2. **Minutes of the Meeting held on 26th June, 2023** (Pages 5 - 12)
To approve these minutes.
3. **Declarations of Interest**
All Members are invited to declare at this point any interests they have in items appearing on this agenda, including the nature of those interests.
4. **Public Participation**
Members of the public may ask questions or make statements at this meeting if they

Enquiries relating to this agenda please contact Paul Preston Tel: 01723 232310
or e-mail: paul.preston@northyorks.gov.uk
Website: www.northyorks.gov.uk

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have given notice (including the text of the question/statement) to Paul Preston of Democratic Services (contact details below) by midday on Wednesday 18th October, 2023. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- At this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes).
- When the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chair who will instruct anyone who may be taking a recording to cease while you speak.

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| 5. | Progress on Issues Raised by the Committee
Joint report of the Corporate Director, Resources and the Assistant Chief Executive (Legal and Democratic Services). | (Pages 13 - 14) |
| 6. | Appointment of Independent Co-opted Members
Report of the Chair of the Audit Committee. | (Pages 15 - 16) |
| 7. | Internal Audit and Counter Fraud Progress Report
Report of the Head of Internal Audit. | (Pages 17 - 62) |
| 8. | North Yorkshire County Council - Planning report to the Audit Committee for the year ending 31 March, 2023
Report of External Audit – Deloitte. | (Pages 63 - 96) |
| 9. | North Yorkshire Pension Fund - Planning report to the Audit Committee on the 2023 Audit
Report of the External Auditors – Deloitte. | (Pages 97 - 128) |
| 10. | Selby District Council 2022-23 Audit Strategy Memorandum - year ending 31 March 2023
Report of Mazars. | (Pages 129 - 162) |
| 11. | Annual Report of the Audit Committee 2023
Report of the Chair, Cllr Cliff Lunn. | (Pages 163 - 172) |
| 12. | Internal Control matters for the Environment Directorate
Report of the Corporate Director, Environment. | (Pages 173 - 198) |
| 13. | Partnership Governance - Annual Report
Report of the Assistant Director Policy, Partnerships and Communities. | (Pages 199 - 202) |
| 14. | Programme of Work
Report of the Corporate Director - Resources | (Pages 203 - 204) |

Purpose of report: To identify items of business scheduled for consideration at each of the Committee's forthcoming meetings.

15. Any other Items

Any other items which the Chair agrees should be considered as a matter of urgency because of special circumstances.

16. Date of Next Meeting

Monday 27th November, 2023 at 1.30pm.

Members are reminded that in order to expedite business at the meeting and enable Officers to adapt their presentations and address areas causing difficulty, they are encouraged to contact Officers prior to the meeting with questions on technical issues in reports.

Contact Details:

Paul Preston, Democratic Services

Tel: 01723 232310

Email: paul.preston@northyorks.gov.uk

Barry Khan

Assistant Chief Executive

(Legal and Democratic Services)

County Hall

Northallerton

Friday, 13 October 2023